



Open Reporting is the cornerstone of GE Vernova’s commitment to integrity. As a result, we rely on all of our employees to raise issues when they see something that they believe may violate a law or GE Vernova policy. In a way, our employees are really our first and best line of defense.

WHAT TO KNOW

- GE Vernova promotes an open environment, in which employees are encouraged to raise integrity concerns through a variety of channels and are comfortable doing so without fear of retaliation. GE Vernova manages reported concerns through its Global Open Reporting & Ombuds Program.
- All employees are expected to promptly submit concerns regarding potential violations of law, regulation, or GE Vernova policy through one of the available Open Reporting Channels (see below).
- Employees do not need to be certain that a violation has occurred, but rather should raise a concern when they have a good faith belief that something improper, a violation of law or policy, has occurred.
- Retaliation for raising a concern, or participating in an integrity investigation, is strictly prohibited. Violations of this policy will be dealt with seriously and swiftly.
- Employees are expected to fully cooperate with and participate in an investigation by making themselves available for interviews, providing requested documents and otherwise complying with the reasonable requests of an investigator. Employees are expected to be truthful in all dealings throughout the course of an investigation.

HOW TO RAISE A CONCERN

(1) You may use any of the channels below to raise a concern. You can do so in person, by phone or in writing to:

<ul style="list-style-type: none">• Your manager• A local, business-level or Corporate-level:<ul style="list-style-type: none">○ ombudsperson,○ compliance leader,○ Human Resources manager or○ legal counsel.• A member of Internal Audit• The GE Vernova Board of Directors.	<p>You can find the contact information for all these channels on the Raise an Integrity Concern tab on integrity.governova.net or through the Raise a Concern icon on your desktop.</p>
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- (2) You may also choose to raise a concern [anonymously](#) at inside.integrity.ge.com under “Raise a Concern.” If you do identify yourself, we can follow up and provide you with feedback.

If you have questions about the Ombuds process, visit the “Raise an Integrity Concern” tab on integrity.governova.net for more information or speak with your local Ombudsperson regarding the process.

HOW CONCERNS ARE INVESTIGATED

- GE Vernova thoroughly examines every integrity concern. During the investigation process, GE Vernova:
 - Forms an independent and objective investigation team.
 - Obtains the facts through interviews and/or the review of documents.
 - Reaches conclusions, whenever possible from the facts the team is able to obtain.
 - Recommends corrective action, if necessary.
 - Provides the person who raised the original concern (if that person is known) with feedback on the outcome, while maintaining the confidentiality and privacy of all involved in the matter.

HOW EMPLOYEES ARE PROTECTED – CONFIDENTIALITY & NO RETALIATION

- GE Vernova’s commitment to confidentiality in the Open Reporting process is vital to maintaining employee trust and faith in the program.
- GE Vernova keeps concerns and related parties strictly confidential, to the extent possible consistent with a full and fair investigation. Investigators will release details *only* on a “need to know” basis.
 - “Need to know” is defined as the group of people for whom the information is relevant to their official job duties and/or who can actively contribute to the ongoing investigation.
- GE Vernova strictly prohibits retaliation against those who raise or help to resolve an integrity concern.
 - Employees who believe that someone is retaliating against them for raising a concern or participating in an investigation should contact their ombudsperson, the investigator, or any of the other channels immediately.
 - Any confirmed retaliation, whether direct or indirect, is grounds for discipline up to and including dismissal.

THE SPIRIT & THE LETTER

OPEN REPORTING POLICY



GE VERNOVA

PENALTIES FOR VIOLATION

Employees who violate the spirit or the letter of GE Vernova's policies are subject to disciplinary action up to and including termination of employment if allowed under applicable law. In addition, if laws are violated, employees or the Company may be subject to criminal penalties (fines or jail time) or civil sanctions (damage awards or fines). GE Vernova could also lose government contracting privileges and export privileges.